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IN THE
UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: Peters et al.

APPLICATION NUMBER: 09/553,877

FILED: April 20, 2000

TITLE: Integrated System for the Administration of an Insurance Company

EXAMINER: Bleck

GROUP ART UNIT: 3626

CONF. NO.: 6196

ATTORNEY DOCKET NUMBER: PA2439US

RECEIVED
FEB 26 2004
GROUP 3600

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT
Under 37 C.F.R. §§ 1.56, and 1.97-1.98

SIR:

Pursuant to the provisions of 37 C.F.R. §§ 1.56 and 1.97-98 of the Rules of Practice in Patent Cases, enclosed herewith is form PTO-SB-08 citing several references. Copies of those references cited in U.S. patent application number 08/673,647 which is relied upon for priority under 35 U.S.C. § 120 are not enclosed pursuant to 37 CFR § 1.98(d).

The Examiner is requested to make these references of official record in this application. The references cited may be material to examination of the application and

02/24/2004 CERAUI 00000071 09553877 180.00 UP
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are submitted in compliance with Applicants' duty of disclosure as defined by 37 C.F.R. § 1.56.

Applicants have enclosed the requisite fee under 37 C.F.R. § 1.17(p). Applicants believe no other fee is due at the present time. The Examiner is, however, authorized to charge any additional fee that might be due to **Deposit Account 06-0600**. A duplicate copy of PTO-SB-17 is enclosed for this purpose.


No representation is made or intended as to the completeness of this list, nor is the inclusion of any reference on this list an admission that it is prior art or pertinent to this application.

Respectfully submitted,

Gerald Peters et al.

Dated: February 18, 2004

By: _____

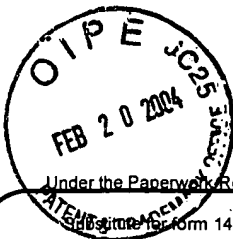

Steven M. Colby, Reg. No. 50,250
Carr & Ferrell *LLP*
2200 Geng Road
Palo Alto, CA 94303
TEL: (650) 812-3400
FAX: (650) 812-3444

CERTIFICATE OF MAILING

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By: _____


Steven M. Colby



PTO/SB/08a (05-03)

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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet

1

of

2

Complete if Known

Application Number	09/553,877
Filing Date	April 20, 2000
First Named Inventor	Peters
Art Unit	3626
Examiner Name	Bleck
Attorney Docket Number	PA2439US

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
		US- 4,491,725	01-01-1985	Pritchard	
		US- 4,648,037	03-03-1987	Valentino	
		US- 4,837,693	06-06-1989	Schotz	
		US- 4,878,175	10-31-1989	Norden-Paul et al.	
		US- 5,070,452	12-03-1991	Doyle, Jr. et al.	
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RECEIVED**FEB 26 2004****GROUP 3600****FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				

Examiner
SignatureDate
Considered

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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Substitute for form 1449B/PTO

(Use as many sheets as necessary)

Complete if Known

Application Number	09/553,877
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Filing Date	April 20, 2000
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First Named Inventor	Peters
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Art Unit	3626
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Examiner Name	Bleck
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Sheet	2	of	2	Attorney Docket Number	PA2439US
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Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		DATAPRO RESEARCH GROUP, "Insurance and Real Estate," McGraw-Hill, Inc., 1991, pp. D45-150 to D45-900.	
		DATASOURCES SOFTWARE, "Insurance Applications Software," 1st Ed., 1990, pp. J-391 to J-404.	

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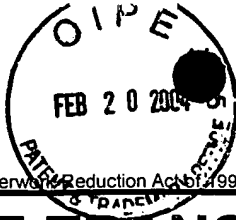
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FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 180.00)

Complete if Known

Application Number	09/553,877
Filing Date	April 20, 2000
First Named Inventor	Peters
Examiner Name	Bleck
Art Unit	3626
Attorney Docket No.	PA2439US

RECEIVED**FEB 26 2004****GROUP 3600****METHOD OF PAYMENT (check all that apply)**☒ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None☒ Deposit Account:Deposit Account Number
Deposit Account Name

06-0600

Carr & Ferrell LLP

The Director is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☒ Credit any overpayments☒ Charge any additional fee(s) or any underpayment of fee(s)☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1001 770	2001 385	Utility filing fee	
1002 340	2002 170	Design filing fee	
1003 530	2003 265	Plant filing fee	
1004 770	2004 385	Reissue filing fee	
1005 160	2005 80	Provisional filing fee	

SUBTOTAL (1) (\$)

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Extra Claims	Fee from below	Fee Paid
Independent Claims	-20** =	X	
Multiple Dependent Claims	-3** =	X	

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
1202 18	2202 9	Claims in excess of 20
1201 86	2201 43	Independent claims in excess of 3
1203 290	2203 145	Multiple dependent claim, if not paid
1204 86	2204 43	** Reissue independent claims over original patent
1205 18	2205 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$)

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)**3. ADDITIONAL FEES**

Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
1051 130	2051 65	Surcharge - late filing fee or oath	
1052 50	2052 25	Surcharge - late provisional filing fee or cover sheet	
1053 130	1053 130	Non-English specification	
1812 2,520	1812 2,520	For filing a request for <i>ex parte</i> reexamination	
1804 920*	1804 920*	Requesting publication of SIR prior to Examiner action	
1805 1,840*	1805 1,840*	Requesting publication of SIR after Examiner action	
1251 110	2251 55	Extension for reply within first month	
1252 420	2252 210	Extension for reply within second month	
1253 950	2253 475	Extension for reply within third month	
1254 1,480	2254 740	Extension for reply within fourth month	
1255 2,010	2255 1,005	Extension for reply within fifth month	
1401 330	2401 165	Notice of Appeal	
1402 330	2402 165	Filing a brief in support of an appeal	
1403 290	2403 145	Request for oral hearing	
1451 1,510	1451 1,510	Petition to institute a public use proceeding	
1452 110	2452 55	Petition to revive - unavoidable	
1453 1,330	2453 665	Petition to revive - unintentional	
1501 1,330	2501 665	Utility issue fee (or reissue)	
1502 480	2502 240	Design issue fee	
1503 640	2503 320	Plant issue fee	
1460 130	1460 130	Petitions to the Commissioner	
1807 50	1807 50	Processing fee under 37 CFR 1.17(q)	
1806 180	1806 180	Submission of Information Disclosure Stmt	180.00
8021 40	8021 40	Recording each patent assignment per property (times number of properties)	
1809 770	2809 385	Filing a submission after final rejection (37 CFR 1.129(a))	
1810 770	2810 385	For each additional invention to be examined (37 CFR 1.129(b))	
1801 770	2801 385	Request for Continued Examination (RCE)	
1802 900	1802 900	Request for expedited examination of a design application	

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 180.00)

SUBMITTED BY

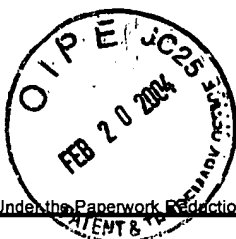
(Complete (if applicable))

Name (Print/Type)	Steven M. Colby	Registration No. (Attorney/Agent)	50,250	Telephone	650.812.3400
Signature		Date	February 18, 2004		

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**TRANSMITTAL
FORM**

(to be used for all correspondence after initial filing)

Application Number 09/553,877

Filing Date April 20, 2000

First Named Inventor Peters

Art Unit 3626

Examiner Name Bleck

Total Number of Pages in This Submission

9

Attorney Docket Number PA2439US

ENCLOSURES (Check all that apply)

Fee Transmittal Form



Fee Attached



Amendment/Reply



After Final



Affidavits/declaration(s)



Extension of Time Request



Express Abandonment Request



Information Disclosure Statement



Certified Copy of Priority Document(s)

Response to Missing Parts/
Incomplete ApplicationResponse to Missing Parts
under 37 CFR 1.52 or 1.53

Drawing(s)



Licensing-related Papers



Petition

Petition to Convert to a
Provisional Application

Power of Attorney, Revocation



Change of Correspondence Address



Terminal Disclaimer



Request for Refund



CD, Number of CD(s) _____

Remarks

After Allowance communication
to GroupAppeal Communication to Board
of Appeals and InterferencesAppeal Communication to Group
(Appeal Notice, Brief, Reply Brief)

Proprietary Information



Status Letter

Other Enclosure(s) (please
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(2) PTO-SB-08 (x2)

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or
Individual name

Carr & Ferrell LLP

Signature

Reg. No. 50,250

Date

February 18, 2004

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Steven M. Colby

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